RAW SEQUENCE LISTING
ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information
Center (STIC) detected errors when processing the following computer readable
form:

Application Serial Number: _____09/913631_____

Source: _____PCT_____

Date Processed by STIC: _____08/30/2001_____

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:
1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE
   APPLICANT, WITH A NOTICE TO COMPLY or,
2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A
   NOTICE TO COMPLY
FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.
PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax)
PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER
VERSION 3.0 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND
TRADEMARK OFFICE WEBSITE. SEE BELOW:

Checker Version 3.0

The Checker Version 3.0 application is a state-of-the-art Windows based software program
employing a logical and intuitive user-interface to check whether a sequence listing is in
compliance with format and content rules. Checker Version 3.0 works for sequence listings
generated for the original version of 37 CFR §§1.821 – 1.825 effective October 1, 1990 (old
rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual
Property Organization (WIPO) Standard ST.25.
Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-
compliant. Checker allows public users to check sequence listings in Computer Readable form
(CRF) before submitting them to the United States Patent and Trademark Office (USPTO).
Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence
listings, thus saving time and money.

<u>Checker Version 3.0 can be down loaded from the USPTO website at the following address:</u>
http://www.uspto.gov/web/offices/pac/checker

ERROR DETECTED	SUGGESTED CORRECTION	SERIAL NUMBER: 09/913631

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

1 ____ Wrapped Nucleics
Wrapped Aminos
The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."

2 ____ Invalid Line Length
The rules require that a line not exceed 72 characters in length. This includes white spaces.

3 ____ Misaligned Amino Numbering
The numbering under each 5th amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.

4 ____ Non-ASCII
The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.

5 ____ Variable Length
Sequence(s) ____ contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.

6 ____ PatentIn 2.0 "bug"
A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) _____. Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.

7 ____ Skipped Sequences (OLD RULES)
Sequence(s) ____ missing. If intentional, please insert the following lines for each skipped sequence:
(2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)
(i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)
(xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)
This sequence is intentionally skipped

Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.

8 ____ Skipped Sequences (NEW RULES)
Sequence(s) ____ missing. If intentional, please insert the following lines for each skipped sequence.
<210> sequence id number
<400> sequence id number
000

9 ____ Use of n's or Xaa's (NEW RULES)
Use of n's and/or Xaa's have been detected in the Sequence Listing.
Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present.
In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.

10 ____ Invalid <213> Response
Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence

11 ✓ Use of <220>
Sequence(s) ____ missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)

12 ____ PatentIn 2.0 "bug"
Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.

13 ____ Misuse of n
n can only be used to represent a single nucleotide in a nucleic acid sequence. N is not used to represent any value not specifically a nucleotide.

AMC/MH – Biotechnology Systems Branch – 08/21/2001

The type of errors shown may exist throughout the Sequence Listing. Please check subsequent sequences for similar errors.

PCT09

RAW SEQUENCE LISTING   DATE: 08/30/2001
PATENT APPLICATION: US/09/913,631   TIME: 07:45:04

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Output Set: N:\CRF3\08302001\I913631.raw

Does Not Comply
Corrected Diskette Needed

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      5 <120> TITLE OF INVENTION: Hormone-Hormone Receptor Complexes and Nucleic Acid
      6        Constructs and Their Use in Gene Therapy
      8 <130> FILE REFERENCE: 000065wo/JH/ml
C--> 10 <140> CURRENT APPLICATION NUMBER: US/09/913,631
C--> 11 <141> CURRENT FILING DATE: 2001-08-16
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198 ccgcgcgttg gccgattcat taatgcagct ggcacgacag gtttcccgac tggaaagcgg 4140
199 gcagtgagcg caacgcaatt aatgtgagtt agctcactca ttaggcaccc caggctttac 4200
200 actttatgct tccggctcgt atgttgtgtg gaattgtgag cggataacaa tttcacacag 4260
201 gaaacagcta tgaccatgat tacgccaagc tctctagagc tctagagctc tagagctcta 4320
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211 <223> OTHER INFORMATION: Description of Artificial Sequence: vector pTGFG36   O K
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215 atcatgttct ggtacccc                                               78
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VERIFICATION SUMMARY
PATENT APPLICATION: **US/09/913,631**

DATE: 08/30/2001
TIME: 07:45:05

Input Set : **A:\000065wo.app**
Output Set: **N:\CRF3\08302001\I913631.raw**

L:10 M:270 C: Current Application Number differs, Replaced Application Number
L:11 M:271 C: Current Filing Date differs, Replaced Current Filing Date
L:22 M:258 W: Mandatory Feature missing, <220> FEATURE:
L:22 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
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